

# United States Patent and Trademark Office

UNITED SMATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

andrii, Virginia 22313-1450

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/740,763	12/19/2000	Uwe Hansmann	DE919990078	5393
46369	7590 01/26/2006		EXAM	INER
HESLIN ROTHENBERG FARLEY & MESITI P.C.			KANG, INSUN	
5 COLUMBIA			ADTIBUT	DA DED MUMBED
ALBANY, NY 12203			ART UNIT	PAPER NUMBER
			2193	

DATE MAILED: 01/26/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)			
	09/740,763	HANSMANN ET AL.			
Office Action Summary	Examiner	Art Unit			
	Insun Kang	2193			
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply					
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period w  - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be timused and will expire SIX (6) MONTHS from a cause the application to become ABANDONE	N. nely filed the mailing date of this communication. D (35 U.S.C. § 133).			
Status					
1) Responsive to communication(s) filed on 20 Oc	1) Responsive to communication(s) filed on <u>20 October 2005</u> .				
,	,—				
3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is					
closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.					
Disposition of Claims					
4) ⊠ Claim(s) 1-18 is/are pending in the application. 4a) Of the above claim(s) is/are withdray 5) □ Claim(s) is/are allowed. 6) ⊠ Claim(s) 1-18 is/are rejected. 7) □ Claim(s) is/are objected to. 8) □ Claim(s) are subject to restriction and/or	vn from consideration.	·			
Application Papers					
9) The specification is objected to by the Examiner.  10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.  Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.					
Priority under 35 U.S.C. § 119					
12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a) All b) Some * c) None of:  1. Certified copies of the priority documents have been received.  2. Certified copies of the priority documents have been received in Application No.  3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.  TODD INGBERG PRIMARY EXAMINER					
1) Notice of References Cited (PTO-892)	4) Interview Summary				
<ul> <li>2) Notice of Draftsperson's Patent Drawing Review (PTO-948)</li> <li>3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)</li> <li>Paper No(s)/Mail Date</li> </ul>	Paper No(s)/Mail Da 5) Notice of Informal P 6) Other:	atent Application (PTO-152)			

#### **DETAILED ACTION**

1. This action is in response to the amendment filed 10/20/2005.

2. As per applicant's request, claims 5-11 and 13-16 have been amended and claims 17-18 have been added. Claims 1-18 are pending in the application.

## Claim Rejections - 35 USC § 101

3. 35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

4. Claims 1-6 are rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter.

Claims 1-6 are non-statutory because they are directed to a "method" without recitation of a computer or a computer-readable medium embodying the claimed instructions. The claims merely recite a "method" that is disembodied arrangement so as to be called a "computer program" or compilation of facts, information, or data *per se*, without creating any functional interrelationship, either as part of the stored data or as part of the computing processes performed by the computer ("acts") or computer readable medium so as to enable the computer to perform the claimed steps of providing a set of software components, assigning and storing an identifier as recited. Thus the claims represent non-functional descriptive material that is not capable of producing a useful result, and hence represent only abstract ideas. Therefore, the claims are non-statutory.

Claim Rejections - 35 USC § 102

Application/Control Number: 09/740,763 Page 3

Art Unit: 2193

5. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that

form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

6. Claims 1-18 are rejected under 35 U.S.C. 102(e) as being anticipated by Wilkinson et al. (PGPub. 20030023954 ) hereinafter referred to as "Wilkinson."

#### Per claim 1:

Wilkinson discloses:

-providing a set of software components out of which a software application to be executed by an apparatus comprising processor means and memory means can be partly or entirely assembled (i.e. 0020)

-said software components are self-contained, reusable software units that can be visually composed into applets or applications using visual application builder tools (i.e. 0073)

-assigning a different numeric identifier to each component of said set of software components (i.e. 0022)

-and storing each assigned numeric identifier in the corresponding component (i.e.

0022)

as claimed.

Page 4

Per claim 2:

The rejection of claim 1 is incorporated, further, Wilkinson discloses that the numeric

identifier comprises a bit-length of 8 or 16 bit (i.e. 0020, "Smart card").

Per claim 3:

The rejection of claim 1 is incorporated, further, Wilkinson discloses:

-loading two or more software components of said set of software components into said

memory means of said apparatus, and storing said two or more software components

therein (i.e. 0037) as claimed.

Per claim 4:

The rejection of claim 3 is incorporated, further, Wilkinson discloses:

-loading said software application into said apparatus and storing said software

application in said memory means; providing means for instantiating said loaded

components upon request of said software application; loading said means for

instantiating into said apparatus; and storing said means for instantiating in said

memory means (i.e. 0037) as claimed.

Per claim 5:

The rejection of claim 1 is incorporated, further, Wilkinson discloses providing said

apparatus with a full Virtual Machine being able to execute every instruction for a

predetermined object-oriented programming language (i.e. 0038) as claimed.

Application/Control Number: 09/740,763

Art Unit: 2193

#### Per claim 6:

The rejection of claim 1 is incorporated, further, Wilkinson discloses
-providing said apparatus with a limited Virtual Machine being able to
execute only certain Java instructions for a predetermined object-oriented programming
language (i.e. 0058, "card Java virtual machine") as claimed.

Per claims 7, 8, and 17, they are the system versions of claims 1, 3, and 4, respectively, and are rejected for the same reasons set forth in connection with the rejection of claims 1, 3, and 4 above.

Per claims 9, 10, and 18, they are the computer program product versions of claims 1, 3, and 4, respectively, and are rejected for the same reasons set forth in connection with the rejection of claims 1, 3, and 4 above.

Per claim 11, it is the device version of claim 2, respectively, and is rejected for the same reasons set forth in connection with the rejection of claim 2 above.

Per claim 12, this claim is device version of the claimed method discussed in claim 4, wherein all claim limitations also have been addressed and/or covered in cited areas as set forth the above.

### Per claim 13:

The rejection of claim 11 is incorporated, further, Wilkinson discloses that the device comprises at least one of a chip card, Smart Card, a set-top box and a Personal Digital Assistant (i.e. Smart card, 0020) as claimed.

Application/Control Number: 09/740,763

Art Unit: 2193

Per claim 14:

The rejection of claim 1 is incorporated, further, Wilkinson discloses that said set of

Page 6

software components is at least one of being, subsequent to being partly or entirely

assembled into the software application, updated by updating at least one software

component of the set of software components and supplemented by adding at least one

software component to the set of software components (i.e. 0078) as claimed.

Per claim 15, it is the device version of claim 14, respectively, and is rejected for the

same reasons set forth in connection with the rejection of claim 14 above.

Per claim 16:

Wilkinson discloses providing said apparatus with a limited Java Virtual Machine being

able to execute only a subset of instructions for a predetermined object-oriented

programming language, wherein the set of software components is accessible by the

subset of instructions; and accessing, by said apparatus with a limited Virtual Machine

for the predetermined object-oriented programming language (i.e. "card Java virtual

machine," 0058), a full Java Virtual Machine residing at a computing unit coupled to said

apparatus, said accessing allowing said apparatus to execute additional instructions of

the predetermined object-oriented programming language (i.e. 0078) as claimed.

Response to Amendment

Art Unit: 2193

7. Per claim 9, "the steps of claim 4," in line 2 was not previously presented. "the steps of claim 1" was previously presented in the amendment filed 3/23/2005.

Applicant's explanation is requested.

## Response to Arguments

- 8. Applicant's arguments with respect to claims 1-18 have been considered but are most in view of the new ground(s) of rejection.
- 9. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Insun Kang whose telephone number is 571-272-3724. The examiner can normally be reached on M-F 7:30-4 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kakali Chaki can be reached on 571-272-3719. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

I. Kang AU 2193

all

TODD INGBERG
PRIMARY EXAMINER